FINANCE (FIN)

FIN 135 Personal Finance (3 crs)
- Not open to juniors and seniors in the College of Business. No credit toward the finance major.

Concepts inherent in personal finance. Units presented include career planning, budgeting, banking, saving, credit, insurance, annuities, investments, taxes, and estate planning.

Lecture/Discussion Hours: 3
Lab/Studio Hours: 0

FIN 210 Service-Learning in Finance (1 cr)
Consent: Instructor Consent Required
- No credit toward finance major.

Students must complete a minimum of 15 hours of volunteer service. Service must be approved by faculty supervisor. A journal must be kept throughout project documenting service-learning project. The service-learning project must be completed within one calendar year (preferably one semester). Assignments outstanding longer than this will be graded unsatisfactory.

Attributes: Service-Learning, Half 15 Hours
Repeat: Course may be repeated for a maximum of 2 credits
Grading Basis: S/U Only Grade Basis

FIN 296 Topics in Finance (1-3 crs)
Prerequisite: Limited to finance majors and minors only.
Covers a variety of topics to be determined by faculty interest and student demand.

Repeat: Course may be repeated for a maximum of 6 credits

FIN 310 Financial Literacy Program (1 cr)
Prerequisite: FIN 320
Course provides students with instruction in basic financial literacy. Students will mentor clients in financial literacy. Students provide workshops and one-on-one mentoring.

Attributes: Service-Learning, Half 15 Hours
Repeat: Course may be repeated for a maximum of 2 credits
Grading Basis: A-F Grades Only
Lecture/Discussion Hours: 1
Lab/Studio Hours: 0

FIN 320 Principles of Finance (3 crs)
Prerequisite: ECON 103 and ECON 104, ACCT 201. Study of the techniques used to make investment, financing, and dividend decisions in order to maximize the value of the firm to its owners. Topics include financial planning and control, working capital management, capital budgeting, capital structure, cost of capital, dividend policy, and international finance.

Lecture/Discussion Hours: 3
Lab/Studio Hours: 0

FIN 321 Financial Markets and Institutions (3 crs)
Prerequisite: FIN 320, BSAD 202 or MATH 246 or MATH 346. Finance majors and minors only.
A study of the U.S. financial system. Topics include the Federal Reserve System, term structure of interest rates, financial markets, instruments and financial institutions including regulation of commercial banks.

Attributes: Undergraduate/Graduate Offering
Lecture/Discussion Hours: 3
Lab/Studio Hours: 0

FIN 322 Investments (3 crs)
Prerequisite: FIN 320, BSAD 202 or MATH 246 or MATH 346. Finance majors and minors only.
Overview of security markets. Analysis of stocks, bonds, and other securities. Evaluation of risk and return characteristics and market efficiency.

Attributes: Undergraduate/Graduate Offering
Lecture/Discussion Hours: 3
Lab/Studio Hours: 0

FIN 325 International Financial Management (3 crs)
Prerequisite: FIN 320
An introduction to the international elements of a business’s operating environment. Topics include global economic factors, risk management, cost of capital, capital budgeting, and financing in a global environment.

Attributes: Undergraduate/Graduate Offering
Lecture/Discussion Hours: 3
Lab/Studio Hours: 0

FIN 326 Short Term Financial Management (3 crs)
Prerequisite: FIN 320. Limited to finance majors and minors only.
-This course requires access to a laptop computer which can be brought to class when required.

Short term financial management includes the management of working capital accounts. Banking relations and performance analysis will complete the tools required for a financial manager.

Attributes: Undergraduate/Graduate Offering
Lecture/Discussion Hours: 3
Lab/Studio Hours: 0

FIN 327 Long Term Financial Management (3 crs)
Prerequisite: FIN 320. BSAD 202 or MATH 246 or MATH 346. Finance majors/ minors and Math-actuarial majors only.
-This course requires access to a laptop computer which can be brought to class when required.

Long term financing and investment issues to include capital budgeting methods, cash flow determination, risk analysis, long term debt, preferred and common stock financing, and leasing.

Attributes: Undergraduate/Graduate Offering
Lecture/Discussion Hours: 3
Lab/Studio Hours: 0
<table>
<thead>
<tr>
<th>Course Code</th>
<th>Course Title</th>
<th>Credits</th>
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<tr>
<td>FIN 328</td>
<td>Introduction to Derivative Securities (3 crs)</td>
<td>3</td>
<td>FIN 320, 321, BSAD 202 or MATH 246 or MATH 346. Finance majors and minors. Introduction to options, futures, and forward contracts as financial instruments used to control various types of business risk.</td>
</tr>
<tr>
<td>FIN 330</td>
<td>Wealth Management (3 crs)</td>
<td>3</td>
<td>FIN 320, BCOM 206, 207 Understand the breadth of the individual wealth management process and the wealth management profession.</td>
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<td>FIN 339</td>
<td>Investment Strategy (3 crs)</td>
<td>3</td>
<td>Limited to finance majors and minors, actuarial science majors and minors. Minimum junior standing. Consent: Department Consent Required. Student must apply to the course and will be notified of acceptance prior to registration. The course provides a select group of students the opportunity to obtain experience in the process of managing an investment portfolio.</td>
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<tr>
<td>FIN 371</td>
<td>Introduction to Insurance (3 crs)</td>
<td>3</td>
<td>Minimum junior standing. Emphasis on the individual consumer's and risk manager's viewpoint in dealing with risk through insurance and other techniques.</td>
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<tr>
<td>FIN 395</td>
<td>Finance Internship I (1-3 crs)</td>
<td>1-3</td>
<td>FIN 320. Finance majors only. Internship experience in business situations relevant to the finance major under supervision of a faculty member. Attributes: LE-I Integration, Internship, Special Course Fee Required</td>
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<tr>
<td>FIN 396</td>
<td>Topics in Finance (1-3 crs)</td>
<td>1-3</td>
<td>Limited to finance majors and minors only. Covers a variety of topics to be determined by faculty interest and student demand.</td>
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<tr>
<td>FIN 399</td>
<td>Independent Study Projects (1-4 crs)</td>
<td>1-4</td>
<td>Minimum junior standing. Consent: Department Consent Required Individual project under the direction of a faculty member.</td>
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<tr>
<td>FIN 410</td>
<td>Advanced Financial Literacy Program (1 cr)</td>
<td>1</td>
<td>FIN 310. Consent: Instructor Consent Required Students will assist with financial literacy training, financial literacy program development, and client recruitment. Students will mentor clients in financial literacy. Students provide workshops and one-on-one mentoring. Attributes: Service-Learning, Half 15 Hours Repeat: Course may be repeated for a maximum of 2 credits</td>
</tr>
<tr>
<td>FIN 420</td>
<td>Corporate Valuation (3 crs)</td>
<td>3</td>
<td>FIN 327/FIN 527, BCOM 206, BCOM 207. Limited to finance majors and minors. Minimum senior standing. This course requires access to a laptop computer which can be brought to class when required. Applications of financial management theories and tools to decisions in capital budgeting, financial structure, current asset management, etc. Application is done through use of case and computer analysis. Attributes: Undergraduate/Graduate Offering</td>
</tr>
<tr>
<td>FIN 424</td>
<td>Portfolio Management (3 crs)</td>
<td>3</td>
<td>FIN 322/FIN 522, BCOM 206, BCOM 207. Limited to finance majors and minors. Minimum junior standing. Students will create and manage mock portfolios to learn asset allocation, portfolio construction, and management methods. Other topics include developing investment policies, the psychology of portfolio choices, ethics, and standards of practice in portfolio management. Attributes: Undergraduate/Graduate Offering</td>
</tr>
<tr>
<td>FIN 425</td>
<td>Commercial Bank Management (3 crs)</td>
<td>3</td>
<td>FIN 321/FIN 521 or ECON 321, BCOM 206, BCOM 207. Limited to finance majors and minors. Minimum junior standing. This introduction to commercial bank management includes topics on the structure of banks and issues in banking. Develops a theoretical and case-oriented analysis of asset and liability management techniques. Attributes: Undergraduate/Graduate Offering</td>
</tr>
</tbody>
</table>
FIN 426 Topics in Finance (1-3 crs)
Prerequisite: BCOM 206, BCOM 207. Limited to finance majors and minors. Minimum senior standing. Covers a variety of advanced topics to be determined by faculty interest and student demand.
Attributes: Undergraduate/Graduate Offering
Repeat: Course may be repeated

FIN 428 Advanced Derivative Securities (3 crs)
Prerequisite: FIN 328/FIN 528, BCOM 206, BCOM 207. Limited to finance majors and minors. Minimum junior standing. Quantitative analysis and pricing of derivative instruments and contracts.
Attributes: Undergraduate/Graduate Offering
Grading Basis: A-F Grades Only
Lecture/Discussion Hours: 3
Lab/Studio Hours: 0

FIN 429 Advanced Wealth Management (3 crs)
Prerequisite: FIN 330. Limited to finance majors and minors. Advanced issues in financial planning are analyzed by means of case studies, readings and class discussion.
Attributes: Undergraduate/Graduate Offering
Grading Basis: A-F Grades Only
Lecture/Discussion Hours: 3
Lab/Studio Hours: 0

FIN 434 Portfolio Theory (3 crs)
Prerequisite: FIN 424/FIN 624, BCOM 206, BCOM 207. Limited to finance majors and minors. Minimum senior standing. Introduction to portfolio theories and asset pricing models. Includes mathematical methods of asset pricing, portfolio optimization, and performance measurement and evaluation.
Attributes: Undergraduate/Graduate Offering
Grading Basis: A-F Grades Only
Lecture/Discussion Hours: 3
Lab/Studio Hours: 0

FIN 439 Advanced Investment Strategy (3 crs)
Prerequisite: Limited to finance majors and minors, actuarial science majors and minors. Minimum junior standing. Requires department consent. Consent: Department Consent Required
• Student must apply to the course and will be notified of acceptance prior to registration.
The course provides a select group of students the opportunity to expand their experience in the process of managing an investment portfolio as a senior analyst.
Grading Basis: A-F Grades Only
Lecture/Discussion Hours: 3
Lab/Studio Hours: 0

FIN 490 Finance Honors Seminar (1 cr)
Prerequisite: Minimum senior standing. Consent: Department Consent Required
An in-depth analysis of relevant finance topics adapted to student needs with emphasis on understanding relationships between different areas of finance.
Lecture/Discussion Hours: 1
Lab/Studio Hours: 0

FIN 495 Finance Internship II (1-12 crs)
Prerequisite: FIN 320. Limited to finance majors. Minimum junior standing. Consent: Department Consent Required
• A maximum of twelve credits.
Internship experience in business situations relevant to the finance major under supervision of a faculty member.
Attributes: LE-I1 Integration, Internship, Special Course Fee Required
Repeat: Course may be repeated for a maximum of 12 credits
Grading Basis: A-F Grades Only

FIN 499 Independent Study Projects (1-4 crs)
Prerequisite: Minimum senior standing.
Consent: Department Consent Required
Individual project under the direction of a faculty member.
Repeat: Course may be repeated

FIN 521 Financial Markets and Institutions (3 crs)
Prerequisite: Limited to MBA students.
• Cross-listed with FIN 321. Credit may not be earned in both courses.
A study of the U.S. financial system. Topics include the Federal Reserve System, term structure of interest rates, financial markets, instruments and financial institutions including regulation of commercial banks.
Grading Basis: No S/U Grade Option
Lecture/Discussion Hours: 3
Lab/Studio Hours: 0

FIN 522 Investments (3 crs)
Prerequisite: Limited to MBA students.
• Cross-listed with FIN 322. Credit may not be earned in both courses.
Overview of security markets. Analysis of stocks, bonds, and other securities. Evaluation of risk and return characteristics and market efficiency.
Grading Basis: No S/U Grade Option
Lecture/Discussion Hours: 3
Lab/Studio Hours: 0
FIN 525 International Financial Management (3 crs)
Prerequisite: Limited to MBA students.
• Cross-listed with FIN 325. Credit may not be earned in both courses.

An introduction to the international elements of a business’s operating environment. Topics include global economic factors, risk management, cost of capital, capital budgeting, and financing in a global environment.

Grading Basis: No S/U Grade Option
Lecture/Discussion Hours: 3
Lab/Studio Hours: 0

FIN 526 Short Term Financial Management (3 crs)
Prerequisite: Limited to MBA students.
• Cross-listed with FIN 326. Credit may not be earned in both courses. This course requires access to a laptop computer which can be brought to class when required.

Short term financial management includes the management of working capital accounts. Banking relations and performance analysis will complete the tools required for a financial manager.

Grading Basis: No S/U Grade Option
Lecture/Discussion Hours: 3
Lab/Studio Hours: 0

FIN 527 Long Term Financial Management (3 crs)
Prerequisite: Limited to MBA students.
• Cross-listed with FIN 327. Credit may not be earned in both courses. This course requires access to a laptop computer which can be brought to class when required.

Long term financing and investment issues to include capital budgeting methods, cash flow determination, risk analysis, long term debt, preferred and common stock financing, and leasing.

Grading Basis: No S/U Grade Option
Lecture/Discussion Hours: 3
Lab/Studio Hours: 0

FIN 528 Introduction to Derivative Securities (3 crs)
Prerequisite: FIN 320. Limited to MBA students.
• Cross-listed with FIN 328. Credit may not be earned in both courses.

Introduction to options, futures, and forward contracts as financial instruments used to control various types of business risk.

Grading Basis: No S/U Grade Option
Lecture/Discussion Hours: 3
Lab/Studio Hours: 0

FIN 620 Corporate Valuation (3 crs)
Prerequisite: FIN 327/FIN 527, BCOM 206, BCOM 207. Limited to MBA students.
• Cross-listed with FIN 420. Credit may not be earned in both courses. This course requires access to a laptop computer which can be brought to class when required.

Applications of financial management theories and tools to decisions in capital budgeting, financial structure, current asset management, etc. Application is done through use of case and computer analysis.

Grading Basis: No S/U Grade Option
Lecture/Discussion Hours: 3
Lab/Studio Hours: 0

FIN 624 Portfolio Management (3 crs)
Prerequisite: FIN 322/FIN 522, BCOM 206, BCOM 207. Limited to MBA students.
• Cross-listed with FIN 424. Credit may not be earned in both courses.

Students will create and manage mock portfolios to learn asset allocation, portfolio construction, and management methods. Other topics include developing investment policies, the psychology of portfolio choices, ethics, and standards of practice in portfolio management.

Grading Basis: No S/U Grade Option
Lecture/Discussion Hours: 3
Lab/Studio Hours: 0

FIN 625 Commercial Bank Management (3 crs)
Prerequisite: FIN 321/FIN 521 or ECON 321, BCOM 206, BCOM 207. Limited to MBA students.
• Cross-listed with FIN 425. Credit may not be earned in both courses.

This introduction to commercial bank management includes topics on the structure of banks and issues in banking. Develops a theoretical and case-oriented analysis of asset and liability management techniques.

Grading Basis: No S/U Grade Option
Lecture/Discussion Hours: 3
Lab/Studio Hours: 0

FIN 626 Topics in Finance (1-3 crs)
Prerequisite: BCOM 206, BCOM 207. Limited to MBA students.
• Cross-listed with FIN 426. Credit may not be earned in both courses.

Covers a variety of advanced topics to be determined by faculty interest and student demand.

Repeat: Course may be repeated
Grading Basis: No S/U Grade Option

FIN 628 Advanced Derivative Securities (3 crs)
Prerequisite: FIN 328/FIN 528, BCOM 206, BCOM 207. Limited to MBA students.
• Cross-listed with FIN 428. Credit may not be earned in both courses.

Quantitative analysis and pricing of derivative instruments and contracts.

Grading Basis: No S/U Grade Option
Lecture/Discussion Hours: 3
Lab/Studio Hours: 0
FIN 629 Wealth Management (3 crs)
Prerequisite: FIN 322/FIN 522, BCOM 206, BCOM 207. Limited to MBA students.  
- Cross-listed with FIN 429. Credit may not be earned in both courses.

Understand the individual wealth management process including investment policy statements, asset allocation, implementation and performance evaluation; understand alternative asset classes; understand wealth preservation and protection; understand individual investor behavior.

Grading Basis: No S/U Grade Option
Lecture/Discussion Hours: 3
Lab/Studio Hours: 0

FIN 634 Portfolio Theory (3 crs)
Prerequisite: FIN 424/FIN 624, BCOM 206, BCOM 207. Limited to MBA students.  
- Cross-listed with FIN 434. Credit may not be earned in both courses.

Introduction to portfolio theories and asset pricing models. Includes mathematical methods of asset pricing, portfolio optimization, and performance measurement and evaluation.

Grading Basis: No S/U Grade Option
Lecture/Discussion Hours: 3
Lab/Studio Hours: 0

FIN 639 Investment Strategy (3 crs)
Prerequisite: FIN 424/FIN 624, BCOM 206, BCOM 207. Limited to MBA students.  
- Cross-listed with FIN 439. Credit may not be earned in both courses.

Application of security selection and portfolio construction. Involvement in the management of an actual portfolio.

Grading Basis: No S/U Grade Option
Lecture/Discussion Hours: 3
Lab/Studio Hours: 0

FIN 720 Foundations of Managerial Finance (2 crs)
Prerequisite: ACCT 703 or equivalent. Limited to MBA students.  
- Not for MBA degree credit.

Overview of fundamental issues and concepts in finance. Designed for graduate students in business who have no previous background in finance.

Attributes: Higher Cost Per Credit
Grading Basis: No S/U Grade Option
Lecture/Discussion Hours: 2
Lab/Studio Hours: 0