

FINANCE (FIN)

FIN 135 Personal Finance (3 crs)

- Not open to juniors and seniors in the College of Business. No credit toward the finance major.

Concepts inherent in personal finance. Units presented include career planning, budgeting, banking, saving, credit, insurance, annuities, investments, taxes, and estate planning.

Lecture/Discussion Hours: 3

Lab/Studio Hours: 0

FIN 210 Service-Learning in Finance (1 cr)

Consent: Instructor Consent Required

- No credit toward finance major.

Students must complete a minimum of 15 hours of volunteer service. Service must be approved by faculty supervisor. A journal must be kept throughout project documenting service-learning project. The service-learning project must be completed within one calendar year (preferably one semester). Assignments outstanding longer than this will be graded unsatisfactory.

Attributes: Service-Learning, Half 15 Hours

Repeat: Course may be repeated for a maximum of 2 credits

Grading Basis: S/U Only Grade Basis

FIN 296 Topics in Finance (1-3 crs)

Prerequisite: Limited to finance majors and minors only.

Covers a variety of topics to be determined by faculty interest and student demand.

Repeat: Course may be repeated for a maximum of 6 credits

FIN 310 Financial Literacy Program (1 cr)

Prerequisite: FIN 320

Course provides students with instruction in basic financial literacy. Students will mentor clients in financial literacy. Students provide workshops and one-on-one mentoring.

Attributes: Service-Learning, Half 15 Hours

Repeat: Course may be repeated for a maximum of 2 credits

Grading Basis: A-F Grades Only

Lecture/Discussion Hours: 1

Lab/Studio Hours: 0

FIN 320 Principles of Finance (3 crs)

Prerequisite: ACCT 201 or ACCT 202, ECON 103 and ECON 104.

Study of the techniques used to make investment, financing, and dividend decisions in order to maximize the value of the firm to its owners. Topics include financial planning and control, working capital management, capital budgeting, capital structure, cost of capital, dividend policy, and international finance.

Lecture/Discussion Hours: 3

Lab/Studio Hours: 0

FIN 321 Financial Markets and Institutions (3 crs)

Prerequisite: FIN 320, BSAD 202 or MATH 246 or MATH 345 or MATH 346.

Finance majors and minors only.

A study of the U.S. financial system. Topics include the Federal Reserve System, term structure of interest rates, financial markets, instruments and financial institutions including regulation of commercial banks.

Attributes: Undergraduate/Graduate Offering

Lecture/Discussion Hours: 3

Lab/Studio Hours: 0

FIN 322 Investments (3 crs)

Prerequisite: FIN 320, BCOM 206, BSAD 202 or MATH 246 or MATH 345 or

MATH 346. Finance majors and minors only.

- This course requires access to a laptop computer which can be brought to class when required. Please see the COB laptop requirement: <https://www.uwec.edu/academics/college-business/laptop-requirement/>.

Overview of security markets. Analysis of stocks, bonds, and other securities. Evaluation of risk and return characteristics and market efficiency.

Attributes: Undergraduate/Graduate Offering

Lecture/Discussion Hours: 3

Lab/Studio Hours: 0

FIN 325 International Financial Management (3 crs)

Prerequisite: FIN 320

An introduction to the international elements of a business's operating environment. Topics include global economic factors, risk management, cost of capital, capital budgeting, and financing in a global environment.

Attributes: Undergraduate/Graduate Offering

Lecture/Discussion Hours: 3

Lab/Studio Hours: 0

FIN 326 Short Term Financial Management (3 crs)

Prerequisite: FIN 320. Limited to finance majors and minors only.

- This course requires access to a laptop computer which can be brought to class when required. Please see the COB laptop requirement: <https://www.uwec.edu/academics/college-business/laptop-requirement/>.

Short term financial management includes the management of working capital accounts. Banking relations and performance analysis will complete the tools required for a financial manager.

Lecture/Discussion Hours: 3

Lab/Studio Hours: 0

FIN 327 Long Term Financial Management (3 crs)

Prerequisite: FIN 320. BSAD 202 or MATH 246 or MATH 345 or MATH 346.

Finance majors/minors and Math-actuarial majors only.

- *This course requires access to a laptop computer which can be brought to class when required. Please see the COB laptop requirement: <https://www.uwec.edu/academics/college-business/laptop-requirement/>.*

Long term financing and investment issues to include capital budgeting methods, cash flow determination, risk analysis, long term debt, preferred and common stock financing, and leasing.

Lecture/Discussion Hours: 3

Lab/Studio Hours: 0

FIN 328 Introduction to Derivative Securities (3 crs)

Prerequisite: FIN 320, 321. BSAD 202 or MATH 246 or MATH 345 or MATH 346.

Finance majors and minors.

- *This course requires access to a laptop computer which can be brought to class when required. Please see the COB laptop requirement: <https://www.uwec.edu/academics/college-business/laptop-requirement/>.*

Introduction to options, futures, and forward contracts as financial instruments used to control various types of business risk.

Attributes: Undergraduate/Graduate Offering

Grading Basis: A-F Grades Only

Lecture/Discussion Hours: 3

Lab/Studio Hours: 0

FIN 330 Wealth Management (3 crs)

Prerequisite: FIN 320, BCOM 206, 207

Understand the breadth of the individual wealth management process and the wealth management profession.

Grading Basis: A-F Grades Only

Lecture/Discussion Hours: 3

Lab/Studio Hours: 0

FIN 337 Financial Modeling (3 crs)

Prerequisite: FIN 320. Limited to finance majors and minors only.

- *This course requires access to a laptop computer which can be brought to class when required. Please see the COB laptop requirement: <https://www.uwec.edu/academics/college-business/laptop-requirement/>.*

This course will focus on conceptual applications involved in Corporate Finance. Content is structured around career expectations in corporate finance. This is where the Financial Analyst is part of the management team responsible for managing financial actions of one particular company or organization. This course presents the theory and practice of corporate financial management. Special emphasis will be on emphasizing computer-based modelling. Spreadsheets will be used to analyze financial and non-financial information to make business decisions.

Grading Basis: A-F Grades Only

Lecture/Discussion Hours: 3

Lab/Studio Hours: 0

FIN 339 Investment Strategy (3 crs)

Prerequisite: FIN 320. Limited to finance majors and minors, actuarial science majors and minors. Minimum junior standing.

Consent: Department Consent Required

- *Student must apply to the course and will be notified of acceptance prior to registration.*

The course provides a select group of students the opportunity to obtain experience in the process of managing an investment portfolio.

Grading Basis: A-F Grades Only

Lecture/Discussion Hours: 3

Lab/Studio Hours: 0

FIN 396 Topics in Finance (1-3 crs)

Prerequisite: FIN 320. Limited to finance majors and minors only.

Covers a variety of topics to be determined by faculty interest and student demand.

Repeat: Course may be repeated

Lecture/Discussion Hours: 3

Lab/Studio Hours: 0

FIN 399 Independent Study Projects (1-4 crs)

Prerequisite: Minimum junior standing.

Consent: Department Consent Required

Individual project under the direction of a faculty member.

FIN 410 Advanced Financial Literacy Program (1 cr)

Prerequisite: FIN 310.

Consent: Instructor Consent Required

Students will assist with financial literacy training, financial literacy program development, and client recruitment. Students will mentor clients in financial literacy. Students provide workshops and one-on-one mentoring.

Attributes: Service-Learning, Half 15 Hours

Repeat: Course may be repeated for a maximum of 2 credits

Grading Basis: A-F Grades Only

Lecture/Discussion Hours: 1

Lab/Studio Hours: 0

FIN 420 Corporate Valuation (3 crs)

Prerequisite: FIN 327, BCOM 206, BCOM 207. Limited to finance majors and minors. Minimum senior standing.

- *This course requires access to a laptop computer which can be brought to class when required. Please see the COB laptop requirement: <https://www.uwec.edu/academics/college-business/laptop-requirement/>.*

Applications of financial management theories and tools to decisions in capital budgeting, financial structure, current asset management, etc. Application is done through use of case and computer analysis.

Lecture/Discussion Hours: 3

Lab/Studio Hours: 0

FIN 424 Portfolio Management (3 crs)

Prerequisite: FIN 322, BCOM 206, BCOM 207. Limited to finance majors and minors. Laptop is required. Minimum junior standing.

- *This class requires access to a laptop computer which can be brought to class when required. Please see the COB laptop requirement: <https://www.uwec.edu/academics/college-business/laptop-requirement/>.*

Students will create and manage mock portfolios to learn asset allocation, portfolio construction, and management methods. Other topics include developing investment policies, the psychology of portfolio choices, ethics, and standards of practice in portfolio management.

Lecture/Discussion Hours: 3

Lab/Studio Hours: 0

FIN 426 Topics in Finance (1-3 crs)

Prerequisite: BCOM 206, BCOM 207, FIN 320. Limited to finance majors and minors. Minimum senior standing.

Covers a variety of advanced topics to be determined by faculty interest and student demand.

Repeat: Course may be repeated for a maximum of 3 credits

FIN 429 Advanced Wealth Management (3 crs)

Prerequisite: FIN 330. Limited to finance majors and minors

Advanced issues in financial planning are analyzed by means of case studies, readings and class discussion

Grading Basis: A-F Grades Only

Lecture/Discussion Hours: 3

Lab/Studio Hours: 0

FIN 434 Portfolio Theory (3 crs)

Prerequisite: FIN 424/FIN 624, BCOM 206, BCOM 207. Limited to finance majors and minors. Minimum senior standing.

Introduction to portfolio theories and asset pricing models. Includes mathematical methods of asset pricing, portfolio optimization, and performance measurement and evaluation.

Grading Basis: A-F Grades Only

Lecture/Discussion Hours: 3

Lab/Studio Hours: 0

FIN 439 Advanced Investment Strategy (3 crs)

Prerequisite: FIN 339. Limited to finance majors and minors, actuarial science majors and minors. Minimum junior standing. Requires department consent.

Consent: Department Consent Required

- *Student must apply to the course and will be notified of acceptance prior to registration.*

The course provides a select group of students the opportunity to expand their experience in the process of managing an investment portfolio as a senior analyst.

Grading Basis: A-F Grades Only

Lecture/Discussion Hours: 3

Lab/Studio Hours: 0

FIN 490 Finance Honors Seminar (1 cr)

Prerequisite: Minimum senior standing.

Consent: Department Consent Required

An in-depth analysis of relevant finance topics adapted to student needs with emphasis on understanding relationships between different areas of finance.

Lecture/Discussion Hours: 1

Lab/Studio Hours: 0

FIN 495 Finance Internship (1-3 crs)

Prerequisite: FIN 320. Limited to finance majors. Minimum junior standing.

Consent: Department Consent Required

- *A maximum of three credits.*

Internship experience in business situations relevant to the finance major under supervision of a faculty member.

Attributes: LE-11 Integration, Internship, Special Course Fee Required

Repeat: Course may be repeated for a maximum of 3 credits

Grading Basis: A-F Grades Only

FIN 499 Independent Study Projects (1-4 crs)

Prerequisite: Minimum senior standing.

Consent: Department Consent Required

Individual project under the direction of a faculty member.

Repeat: Course may be repeated
